

# Application of Chemometrics and Data Analysis in Pharmaceutical Forensics

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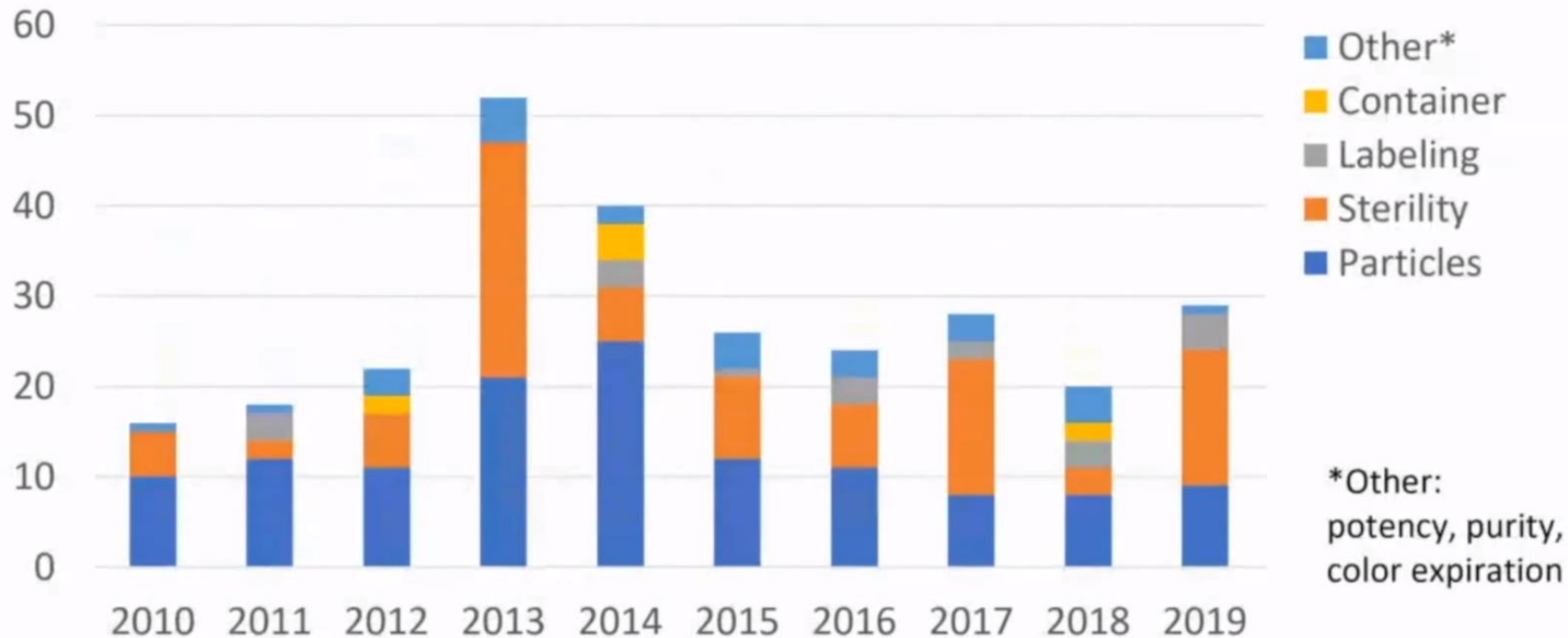
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# Novo Nordisk Pharmatech Microanalysis Centre

- Centre of excellence within pharmaceutical forensics: Particle analysis, identification of unknown materials and solid state characterization
- Highly specialized range of analytical techniques, specifically tailored to fulfil the Novo Nordisk needs
- Unique insight and understanding of Novo Nordisk products and production processes
- Centralised knowledge hub for Novo Nordisk with regards to visible and subvisible particles



# US FDA Recall notices for injectable drug products<sup>1</sup>



- Zero Novo Nordisk particle recalls in the period
- Production processes in control
- Thorough root cause analysis

<sup>1</sup>: Data by John Shabushnig Insight Pharma Consulting, 2020 PDA Visual Inspection Forum

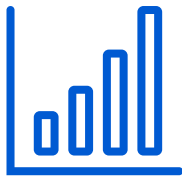
# Analytical flow for particles



# Data science in pharmaceutical forensics

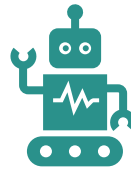
## Business Intelligence (BI):

- Data fusion, cleaning and preparation
- Data visualization
- Automation of workflows



## Robotic Process Automation (RPA):

- Automation of administrative tasks
- Data scraping/collection



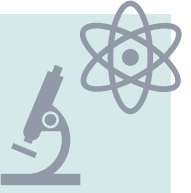
## Chemometrics (ML):

- Remove manual decisions
- Obtain objectivity
- Enhanced insight in data



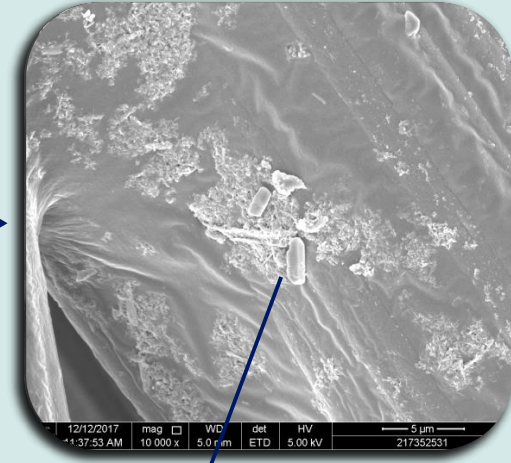
## Domain knowledge:

Spectroscopy, electron- and optical microscopy, chemistry, material science and more

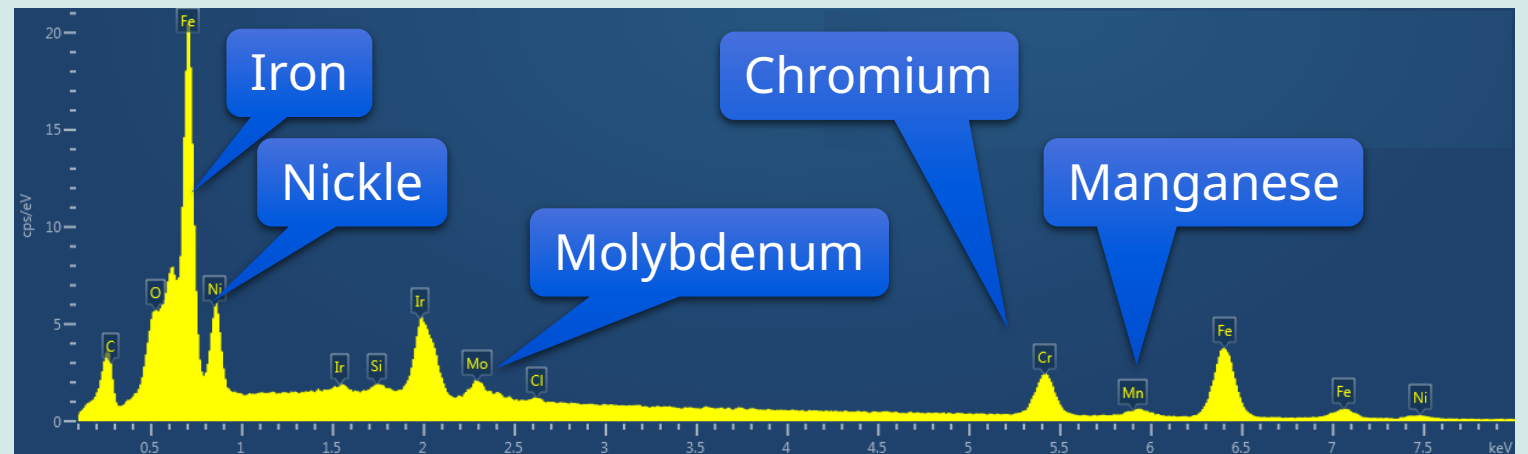


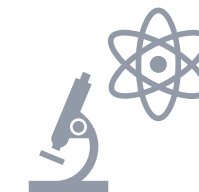
# Case study 1: ID of steel

Remove manual decisions  
Obtain objectivity

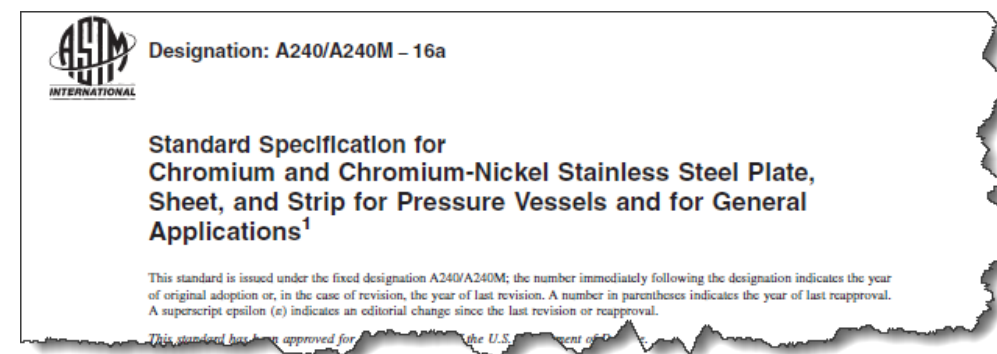
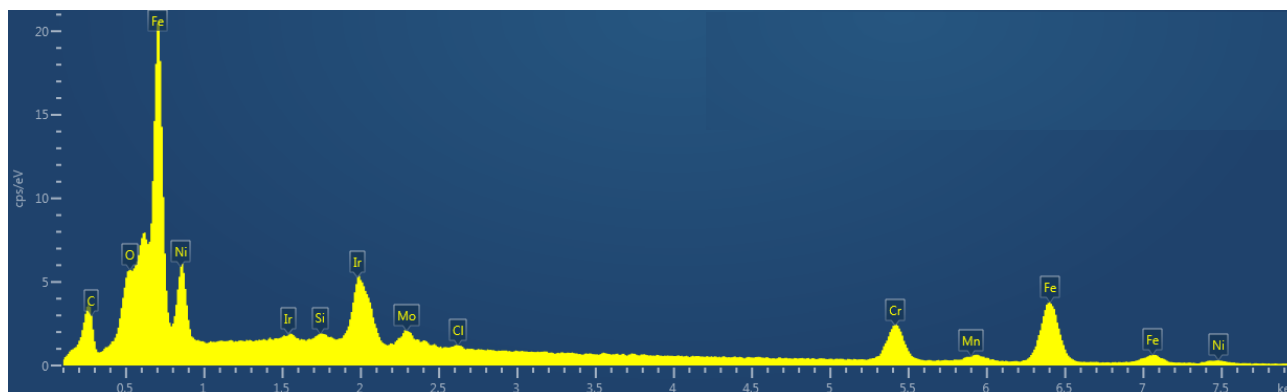


Fe, Cr, Mn, Mo, Ni =  
Stainless steel





# Identification of steel – the manual way



Elements (% W/W)	Si	V	Cr	Mn	Fe	Co	Ni	Cu	Mo	W
Unknown 1	0.42	0.00	17.7	1.21	71.56	0.00	9.11	0.00	0.30	0.00

Objectivity?  
User-friendliness?

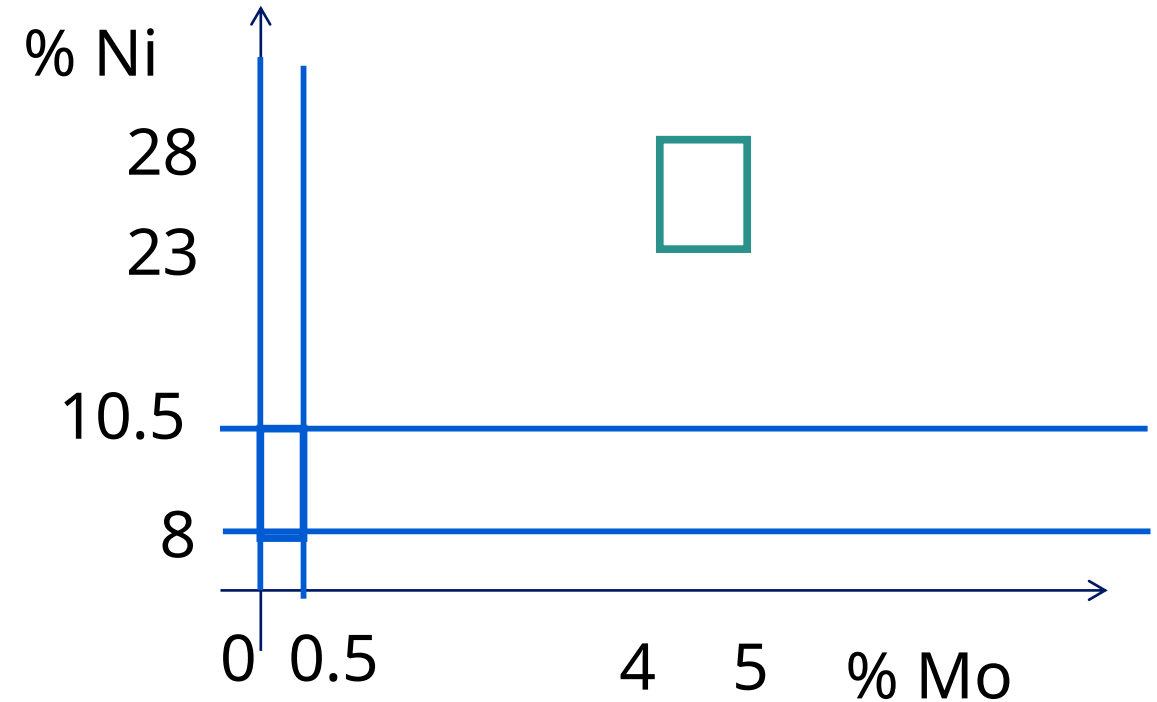
Alloy	Concentration	Si	V	Cr	Mn	Fe	Co	Ni	Cu	Mo	W
AISI 304	Min	0.000	0.000	17.500	0.000	67.000	0.000	8.000	0.000	0.000	0.000
	Max	0.750	0.000	19.500	2.000	74.500	0.000	10.500	0.000	0.000	0.000
AISI 310S	Min	0.000	0.000	24.000	0.000	48.340	0.000	19.000	0.000	0.000	0.000
	Max	1.500	0.000	26.000	2.000	57.000	0.000	22.000	0.000	0.000	0.000
AISI 316	Min	0.000	0.000	16.000	0.000	61.950	0.000	10.000	0.000	2.000	0.000
	Max	0.750	0.000	18.000	2.000	72.000	0.000	14.000	0.000	3.000	0.000
AISI 316L	Min	0.000	0.000	16.000	0.000	61.950	0.000	10.000	0.000	2.000	0.000
	Max	0.750	0.000	18.000	2.000	72.000	0.000	14.000	0.000	3.000	0.000
AISI 904L	Min	0.000	0.000	19.000	0.000	38.800	0.000	23.000	1.000	4.000	0.000
	Max	1.000	0.000	23.000	2.000	53.000	0.000	28.000	2.000	5.000	0.000
SAF2507	Min	0.000	0.000	24.000	0.000	58.090	0.000	6.000	0.000	3.000	0.000
	Max	0.800	0.000	26.000	1.200	66.760	0.000	8.000	0.500	5.000	0.000
Hastelloy C-276	Min	0.000	0.000	14.500	0.000	4.000	0.000	50.990	0.000	15.000	3.000
	Max	0.080	0.350	16.500	1.000	7.000	2.500	63.500	0.000	17.000	4.500



# A graphical approach to ID of steel

Alloy	Concentration	Si	V	Cr	Mn	Fe	Co	Ni	Cu	Mo	W
AISI 304	Min	0.000	0.000	17.500	0.000	67.000	0.000	8.000	0.000	0.000	0.000
	Max	0.750	0.000	19.500	2.000	74.500	0.000	10.500	0.000	0.000	0.000
AISI 310S	Min	0.000	0.000	24.000	0.000	48.340	0.000	19.000	0.000	0.000	0.000
	Max	1.500	0.000	26.000	2.000	57.000	0.000	22.000	0.000	0.000	0.000
AISI 316	Min	0.000	0.000	16.000	0.000	61.950	0.000	10.000	0.000	2.000	0.000
	Max	0.750	0.000	18.000	2.000	72.000	0.000	14.000	0.000	3.000	0.000
AISI 316L	Min	0.000	0.000	16.000	0.000	61.950	0.000	10.000	0.000	2.000	0.000
	Max	0.750	0.000	18.000	2.000	72.000	0.000	14.000	0.000	3.000	0.000
AISI 904L	Min	0.000	0.000	19.000	0.000	38.800	0.000	23.000	1.000	4.000	0.000
	Max	1.000	0.000	23.000	2.000	53.000	0.000	28.000	2.000	5.000	0.000
SAF 2507	Min	0.000	0.000	24.000	0.000	58.090	0.000	6.000	0.000	3.000	0.000
	Max	0.800	0.000	26.000	1.200	66.760	0.000	8.000	0.500	5.000	0.000
Hastelloy C-276	Min	0.000	0.000	14.500	0.000	4.000	0.000	50.990	0.000	15.000	3.000
	Max	0.080	0.350	16.500	1.000	7.000	2.500	63.500	0.000	17.000	4.500

	Si	V	Cr	Mn	Fe	Co	Ni	Cu	Mo	W
Unknown 1	0.42	0.00	17.7	1.21	71.56	0.00	9.11	0.00	0.00	0.00



Window estimation:

- Monte Carlo Simulation
- 100 dummy samples in each window

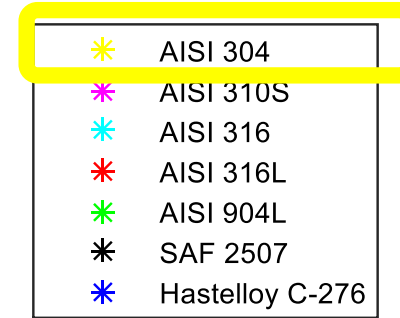
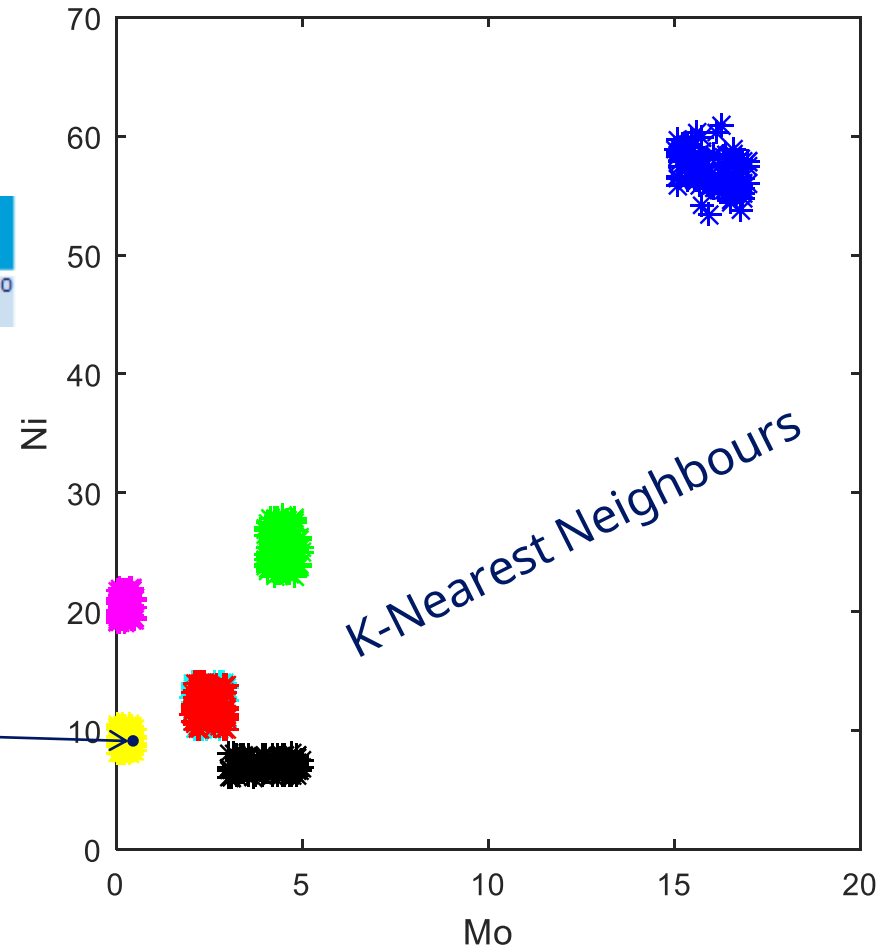




# Classification of "Unknown 1"

Elements (% W/W)	Si	V	Cr	Mn	Fe	Co	Ni	Cu	Mo	W
Unknown 1	0.42	0.00	17.7	1.21	71.56	0.00	9.11	0.00	0.30	0.00

Unknown 1



# Case study 2: FTIR of cellulose fibres

Unknown

Paper  $R^2 = 0.9463$

Viscose  $R^2 = 0.9542$

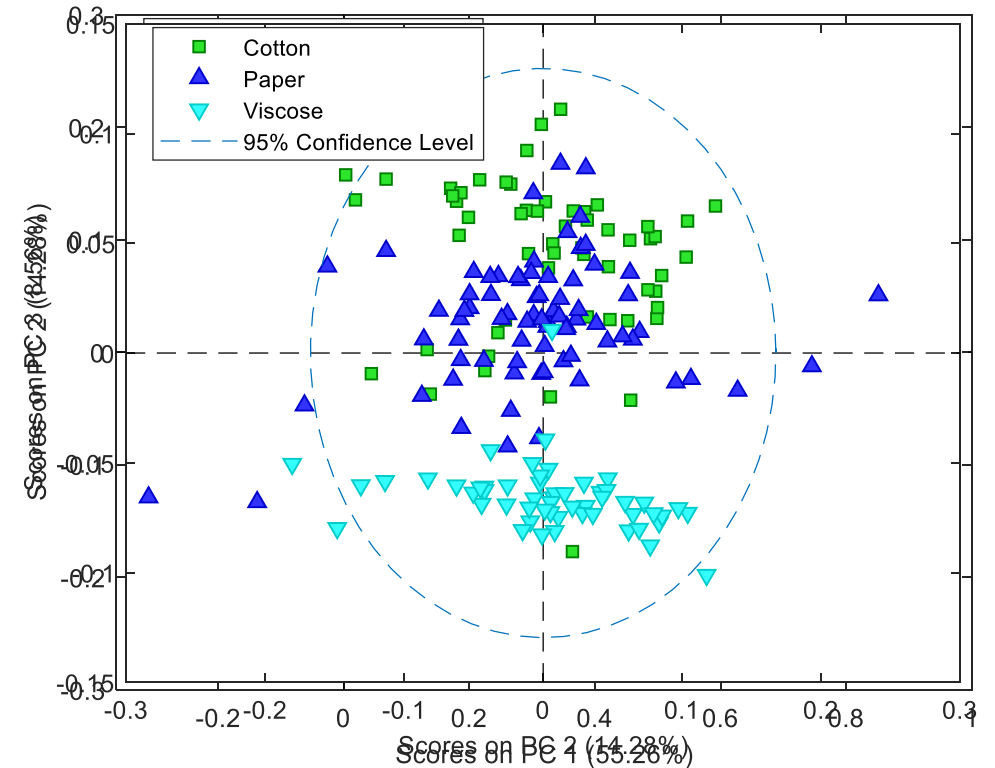
Cotton  $R^2 = 0.9535$

- Common approach
  - Measure sample
  - Calculate  $R^2$  to references
  - Highest correlation = most likely reference
  - Be happy 😊

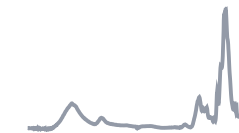
# New (multivariate?) analytics required



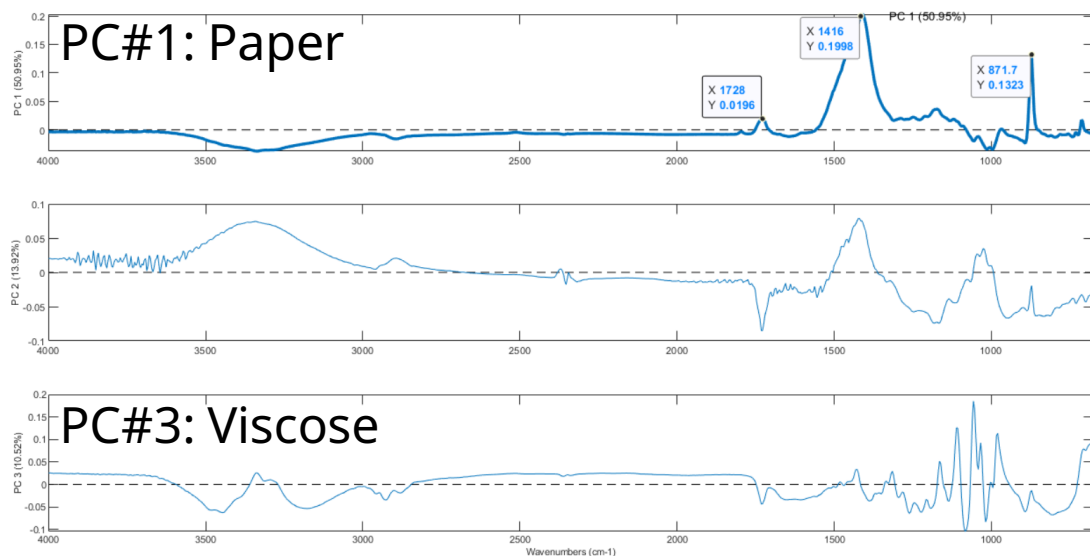
- Scope of project:
  - Cotton
  - Paper
  - Viscose/Rayon
- Measurement of reference catalogue
- Use of multivariate statistics for enhanced knowledge from data



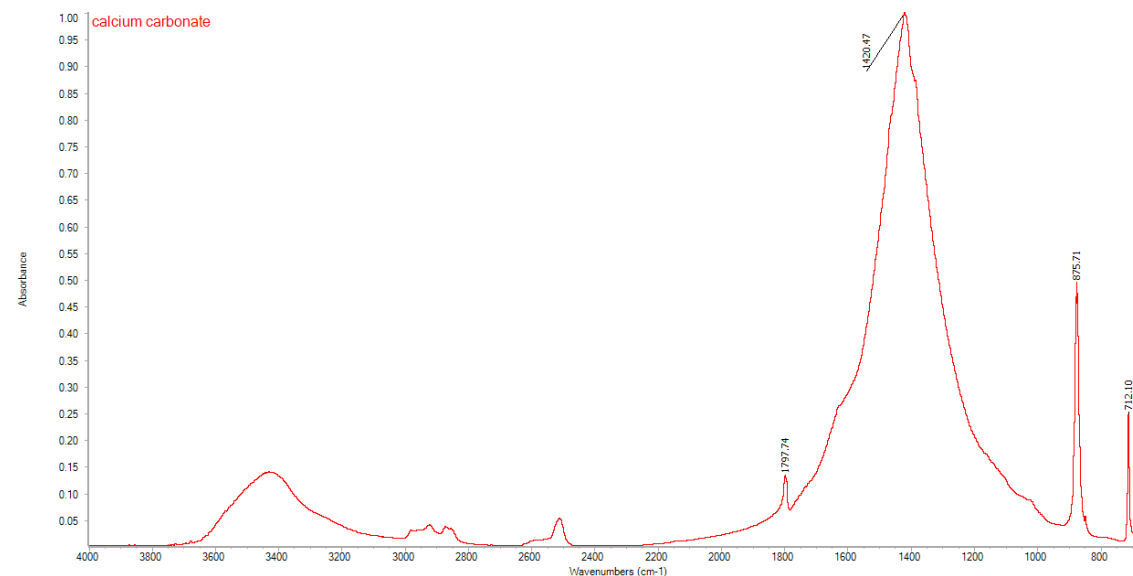
# Power of chemometrics – explains the why



## PCA loadings



## Calcium carbonate reference spectrum



Chemically meaningful clustering in PCA -> PLS-DA candidate method?

# Performance of PLS-DA

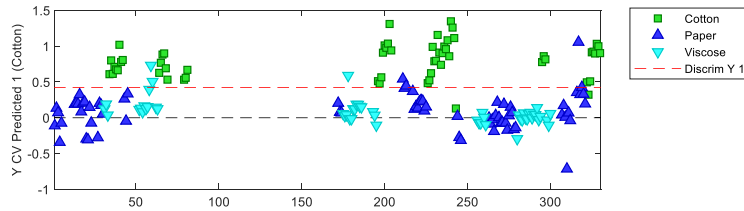


Sensitivity 95-98%

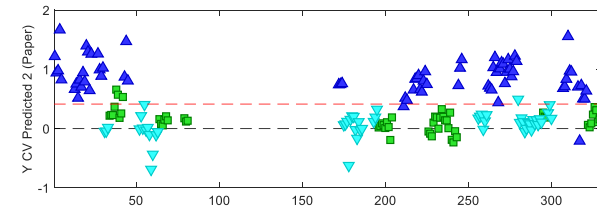
Specificity 98%

Precision 96-97%

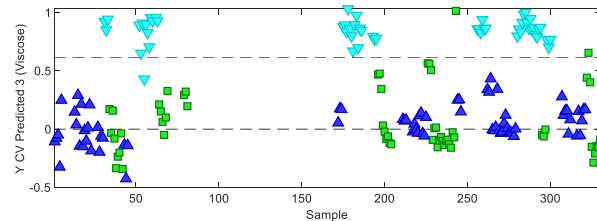
Cotton  
Not cotton



Paper  
Not paper



Viscose  
Not Viscose



CV RESULTS

Confusion Matrix (CV):

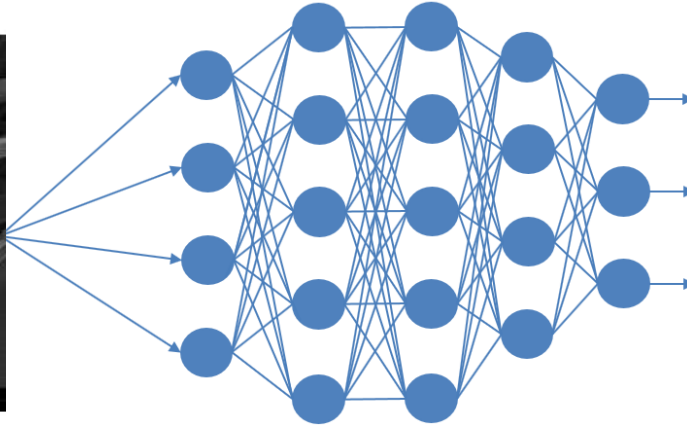
Class:	TPR	FPR	TNR	FNR	N	Err	P	F1
Cotton	0.94737	0.01613	0.98387	0.05263	57	0.02762	0.96429	0.95575
Paper	0.97183	0.01818	0.98182	0.02817	71	0.02210	0.97183	0.97183
Viscose	0.98113	0.01563	0.98438	0.01887	53	0.01657	0.96296	0.97196

Confusion Table (CV):

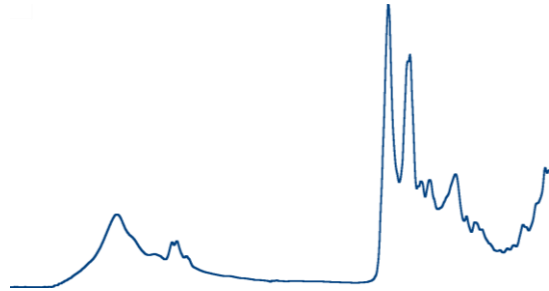
	Actual Class		
	Cotton	Paper	Viscose
Predicted as Cotton	54	2	0
Predicted as Paper	1	69	1
Predicted as Viscose	2	0	52
Predicted as Unassigned	0	0	0

# Future projects in scope

Image  
classification



Spectral  
classification



Protein x



Protein z

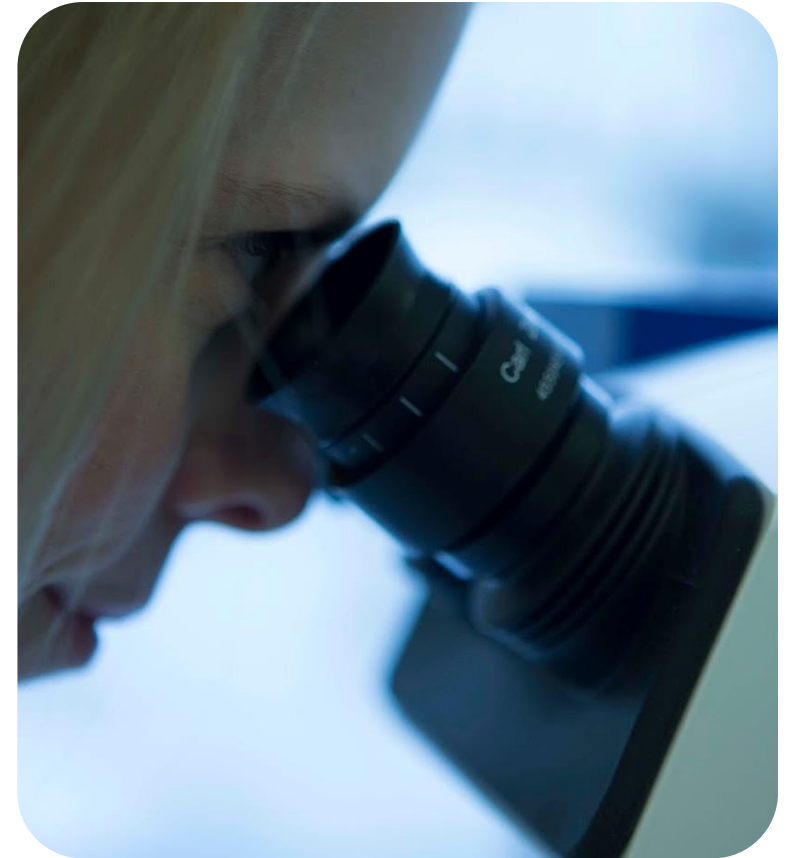


Protein y



# Take home messages

- Chemometrics and modern data analytics are powerful tools
- The use of such tools enables further insight in data
- Large potential for use of these tools in pharmaceutical forensics



# Come join us!

- Internship in Novo Nordisk Pharmatech from Feb 2021
  - Digitalization
  - Customer interaction
- MSc Project at Novo Nordisk Pharmatech?
  - ID of proteins
  - Image classification
  - PAT







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